

## Important instructions for completing this form

The form you requested follows this page. You can either complete it on your computer and then print it out, or print it out first and fill it in by hand. Please complete the form in English.

Follow these easy steps to complete your form:

1. Scroll down and type the requested information in the corresponding field.

Name (First)  
John

- You can move among the fields by using your mouse or the “Tab” key.
- If you’d like to clear all the fields you’ve completed, click the **CLEAR** button.

2. When you’ve completed the form, click the **PRINT** button.

**Please note: Adobe® Reader® does not allow you to save your work. It’s very important that you print out your form immediately after completing it.**

3. When your form is complete, please review, sign and mail it to one of the following addresses:

**Standard U.S. mail:**

Attn: International Operations  
Charles Schwab & Co., Inc.  
P.O. Box 2912  
Phoenix, AZ 85062-2912  
USA

**International mail/overnight delivery:**

Attn: International Operations  
Charles Schwab & Co., Inc.  
2423 E. Lincoln Drive  
Phoenix, AZ 85016-1215  
USA

Be sure to enclose any accompanying materials with your form (such as a check for an initial deposit to open a new account). Should you have any questions or need help, just call **1-888-686-6916** (inside the U.S.) or **+1-415-667-8400** (outside the U.S.).

# Authorization to Purchase Offshore Investment Shares

Process By  
Schwab Int'l  
Operations Only

charlesSCHWAB

- This form must be signed and sent from outside the United States.
- Investors wanting to purchase Offshore Investment Fund Shares should read and complete Sections A, B and C, as applicable.
- If an investment company is not registered in the United States under the Investment Company Act of 1940 and its shares are not registered in the United States under the Securities Act of 1933, then it is an "Offshore Fund." Complete Section A for all purchases. Complete Section B for purchase of any Sweep Fund. Complete Section C for accounts with U.S. addresses.

www.schwab-global.com  
1-877-686-1937 (inside the U.S.)  
+1-415-667-8400 (outside the U.S.)  
Page 1 of 2

Schwab Account Number (if known)

## Section A

I (we) appoint Charles Schwab & Co., Inc. as my (our) agent to purchase, hold, redeem and receive distributions from Offshore Funds, and I (we) make the following representations, as of the date below and on each date on which a purchase of Offshore Funds is made.

### I (we) certify the following:

1. No person solicited or recommended the purchase of Offshore Funds while I was (we were) physically present in the United States or its territories or possessions.
2. I am (we are) not a U.S. person(s) (as defined on the back of this form).
3. I am (we are) an exempt foreign person(s) under U.S. tax laws and have completed and given to Charles Schwab & Co., Inc. a Substitute Form W-8 or will complete and return it concurrently with this form.
4. I (we) will notify Charles Schwab & Co., Inc. immediately if I (we) become a U.S. person(s) or am (are) no longer an exempt foreign person(s) under U.S. tax laws.

5. I (we) did not/will not purchase shares of Offshore Funds for the account or benefit of, or with funds provided by, a U.S. person or a person who is not an exempt foreign person under U.S. tax laws.
6. I (we) will not transfer shares or interests in Offshore Funds to any U.S. person or a person who is not an exempt foreign person under U.S. tax laws.

### I (we) further certify:

Any purchase order of Offshore Funds for my (our) account will be made only from outside the United States and its territories and possessions, or trades in Offshore Funds for my (our) account will be made by a U.S. professional fiduciary with discretionary authority to trade in my (our) account.

## Signature(s) and Date(s) Required

<b>X</b>	Account Holder/Authorized Agent Signature	Print Name	Date
<b>X</b>	Additional Account Holder/Authorized Agent Signature	Print Name	Date

## Section B

**Short-Term Liquidity Sweep Fund.** In addition to completing Section A, Section B is to be completed by investors who choose to earn income on the Free Credit Balance (as that term is defined in the Account Agreement) in their Schwab Account.

If available in my (our) jurisdiction, I (we) elect to have the Free Credit Balance in my (our) Schwab Account automatically invested (i.e., swept) in shares of the Schwab U.S. Dollar Liquid Assets Fund.

I (we) understand that the terms and conditions, including those regarding automatic investment and reception, described in the Schwab Sweep

Funds section of my (our) Account Agreement, apply to the above fund, and I (we) agree to be bound by such terms and conditions.

The fund may not be sold to or held by U.S. citizens, U.S. residents or U.S. persons, or by Irish residents. Distributions to investors who are not U.S. residents or citizens will not be subject to U.S. tax withholding, provided the account holder(s) has (have) provided documentation of status required by the U.S. Internal Revenue Service. The fund is not available in certain jurisdictions.

## Signature(s) and Date(s) Required

<b>X</b>	Account Holder/Authorized Agent Signature	Print Name	Date
<b>X</b>	Additional Account Holder/Authorized Agent Signature	Print Name	Date

If your account has a U.S. address, you must complete Section C of this form to certify eligibility to purchase Offshore Funds. Only confirmations and statements, but no information concerning Offshore Funds, will be sent to a customer address within the United States.



**Section C**

(Complete this section ONLY if your account has a U.S. address.)

**I hereby certify my citizenship and residence are as follows:**

Name	Citizenship
Address	

**Additional Account Holder**

Name	Citizenship
Address	

**I request that confirmations and statements be sent to the following U.S. address:**

Name	Citizenship
Address	

**Signature(s) and Date(s) Required**

<b>X</b> Account Holder/Authorized Agent Signature	Print Name	Date
<b>X</b> Additional Account Holder/Authorized Agent Signature	Print Name	Date

**Requirements to Be a Non-U.S. Person**

**Type of Account Holder**

**Natural Person**  
(or Registered Investment Advisor [RIA] Discretionary Account for a Natural Person)

**Partnership or Corporation**  
(or RIA Discretionary Account for Partnership or Corporation)

**Satisfies Requirements If:**

Natural person is not a U.S. resident.

Partnership or corporation is organized or incorporated under the laws of any foreign jurisdiction.

**But Does Not Satisfy If:**

Natural person is a U.S. citizen.

Partnership or corporation is organized or incorporated by a U.S. person principally for the purpose of investing in securities not registered under the Securities Act of 1933.

**Other Types of Account Holder**

**1. Trust**

Satisfies requirements if:

Either no trustee is a U.S. person or (i) RIA acts as a trustee; (ii) a trustee who is not a U.S. person has sole or shared investment discretion with respect to the trust assets; and (iii) no beneficiary of the trust is a U.S. person (and the settlor is not a U.S. person if the trust is revocable).

**2. Estate**

Satisfies requirements if:

Either no executor or administrator is a U.S. person or (i) RIA is an executor or administrator; (ii) an executor or administrator of the estate who is not a U.S. person has sole or shared investment discretion with respect to the assets of the estate; and (iii) estate is governed by foreign law.

**3. Employee Benefit Plan**

Satisfies requirements if:

The plan is established and administered in accordance with the law, customary practices and documentation of a foreign jurisdiction.

**4. Agency or Branch of a U.S. Person Located Outside the U.S.**

Satisfies requirements if:

(i) Agency or branch operates for valid business reasons; and (ii) agency or branch is engaged in the business of insurance or banking and subject to substantive insurance or banking regulation in jurisdiction where located.

<b>FOR CHARLES SCHWAB USE ONLY</b>												
<input type="checkbox"/> 158 Offshore Authorization—Section A <input type="checkbox"/> 159 USD2Z NRA Application—Section B <input type="checkbox"/> 160 U.S. Address—Foreign Residence—Section C												
Source Code	Branch Office and Account Number											